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# Conserved transcriptomic profiles underpin monogamy across vertebrates

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**Social monogamy, typically characterized by the formation of a pair bond, increased territorial defense, and often biparental care, has independently evolved multiple times in animals. Despite the independent evolutionary origins of monogamous mating systems, several homologous brain regions and neuropeptides and their receptors have been shown to play a conserved role in regulating social affiliation and parental care, but little is known about the neuromolecular mechanisms underlying monogamy on a genomic scale. Here, we compare neural transcriptomes of reproductive males in monogamous and nonmonogamous species pairs of *Peromyscus* mice, *Microtus* voles, parid songbirds, dendrobatid frogs, and *Xenotilapia* species of cichlid fishes. We find that, while evolutionary divergence time between species or clades did not explain gene expression similarity, characteristics of the mating system correlated with neural gene expression patterns, and neural gene expression varied concordantly across vertebrates when species transition to monogamy. Our study provides evidence of a universal transcriptomic mechanism underlying the evolution of monogamy in vertebrates.**

evolution | social behavior | gene expression | deep homology | mating systems

The diversity of animal social behavior has motivated a wealth of studies that explore variation in behavioral repertoires, sensory and cognitive specializations, and the ecological contexts in which they have evolved. Despite this extensive variation, the action of hormones, specifically sex steroids and neuropeptides (1), and other candidate pathways appears to be remarkably conserved in the regulation of social behavior (e.g., refs. 2 and 3). Moreover, recent studies support the intriguing hypothesis that coordinated activity of conserved gene sets underlies independent evolutionary transitions to similar behavioral phenotypes (4–8). It should thus not be a surprise that behavioral phenotypes may share molecular mechanisms regardless of their evolutionary history. Like extant animals, the most recent common ancestor had to meet challenges imposed by fluctuating internal and external conditions. The mechanisms used by these ancestral organisms to maintain homeostasis serve as the building blocks for the evolution of more derived behavioral responses as evidenced by the conserved role of homologous brain regions in processing social signals (9–11). At the molecular level, a “toolkit” of molecular pathways and gene networks can be preserved for hundreds of millions of years (12), and phenotypic novelty often can be attributed to new uses of such conserved gene sets (13, 14). The pervasiveness of conserved gene modules is highlighted by phenologs—functionally and physiologically unrelated phenotypes in different species with a statistical overrepresentation of shared sets of underlying orthologous genes (15). Finally, recent

progress resolving evolutionary relationships among metazoan animals indicates that homoplasy is much more common than previously appreciated (16), even among phenotypes with overlapping molecular mechanisms [e.g., the nervous systems (17, 18)]. These discoveries have transformed our thinking about the origins and evolution of morphological and developmental phenotypes, but are rarely applied to investigations of the evolution of behavior.

Uncovering universal mechanisms of similar phenotypes requires a broadly comparative approach (19–21). Here, we ask to what extent similar neural transcriptomic profiles are associated with variation in social behavior across vertebrates, using mating system evolution as an example, and discuss the importance of

## Significance

**Social monogamy, typically characterized by the formation of a pair bond, increased territorial defense, and often biparental care, has evolved numerous times in animals. Despite the independent evolutionary origins of monogamous mating systems, several homologous brain regions and neuroendocrine pathways play conserved roles in regulating social affiliation and parental care, but little is known about the evolution of the neuromolecular mechanisms underlying monogamy. Here, we show that shared transcriptomic profiles are associated with monogamy across vertebrates and discuss the importance of our discovery for understanding the origins of behavioral diversity. We compare neural transcriptomes of reproductive males in monogamous and nonmonogamous species pairs of mice, voles, parid songbirds, frogs, and cichlid fishes. Our results provide evidence of a universal transcriptomic code underlying monogamy in vertebrates.**

Author contributions: R.L.Y., L.A.O., and H.A.H. designed research; R.L.Y., M.H.F., N.F.O.-P., V.N.O., S.M.P., Á.P., C.L.R.-Z., K.S., T.S., B.C.T., A.O.U., G.Z., L.A.O., and H.A.H. performed research; R.L.Y., L.A.O., and H.A.H. analyzed data; and R.L.Y. and H.A.H. wrote the paper.

The authors declare no conflict of interest.

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Data deposition: All sequence data in this publication have been deposited in National Center for Biotechnology Information Gene Expression Omnibus, <https://www.ncbi.nlm.nih.gov/geo> (SuperSeries GSE123301, accession nos. GSM3499527–GSM3499536). All metadata and protocols/scripts are available on the Texas Data Repository (<https://dataverse.tdl.org/dataverse/monogamy>).

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our discovery for understanding the origins of behavioral diversity. While a more narrow focus within a clade may reveal more candidate genes with similar expression (6, 22), these results cannot be generalized across clades, limiting their broader implications. Monogamous species with nonmonogamous close relatives can be found in at least four major vertebrate clades (teleosts, amphibians, birds, mammals), providing an unparalleled opportunity to examine whether repeated transitions to a particular mating system evolve via shared transcriptomic mechanisms. We compare neural transcriptomes of reproductive males in monogamous and nonmonogamous species pairs of *Peromyscus* mice, *Microtus* voles, passeroid songbirds, dendrobatid frogs, and ectodine cichlid fishes. We characterize similarity in neural gene expression patterns among species in relation to similarity in mating system, ecological attributes, and evolutionary divergence time. While neither similarity in ecology nor in divergence time between clades explained gene expression similarity, neural gene expression varied concordantly across vertebrates between males of monogamous and nonmonogamous species. Genes with highly increased or decreased expression in monogamous species of one clade are likely to also have highly increased or decreased expression in the monogamous species of another clade. Our study provides evidence of a universal transcriptomic mechanism underlying monogamy in vertebrates.

Animal mating systems can be characterized as a suite of reproductive, parental, and agonistic phenotypes that can be highly variable among closely related (and even within) species depending on sex ratio as well as ecological factors such as predation risk, resource distribution, and extent of competition (23–25). Despite this potential diversity, similar mating systems have been described in numerous distantly related species. Social monogamy, for example, is typically characterized by the formation of a pair bond, increased territorial defense, and often biparental care; this suite of social behaviors has evolved independently numerous times (23, 26). Studies of pair bonding and parental care in mammals, birds, and fishes reveal a conserved, albeit complex, role for arginine vasopressin (AVP) and oxytocin (OT) as well as their receptors in regulating social affiliation (27–31). Recent studies have advanced our understanding of variation and the evolution of such pathways, for example, by illustrating how life history trade-offs underlie molecular, transcriptomic, and epigenetic variation among individuals (32). Less attention has been given to characterizing the complexities of neuromolecular mechanisms underlying monogamy on a genomic scale, including identifying novel candidate genes and pathways.

## Results and Discussion

**Shared and Unique Patterns Across Clades.** Within each clade we compared expression of orthologous gene groups (OGGs) between species pairs (*SI Appendix, Fig. S3*). We found that the mean difference in expression between species pairs was near zero across all 1,979 OGGs (*SI Appendix, Fig. S3A*). Intriguingly, gene expression was least variable between the two bird species (*SI Appendix, Fig. S3B*), which may be explained by the fact that the mating systems of these species pairs are considerably more similar than those of the other clades (Fig. 2). Specifically, both *Anthus spinoletta* and *Prunella modularis* can form pair bonds (although less frequently in *P. modularis*) and exhibit direct paternal care (Fig. 2 and *SI Appendix, Fig. S1 and Table S1*). Despite these differences in OGG expression variation across clades, clade-specific Gene Ontology (GO) analysis revealed high conservation of GO term enrichment highlighting cell communication, signaling receptor activity, and membrane proteins as consistently associated with monogamy-related expression across clades (*SI Appendix, Fig. S4*).

**Across Vertebrates, Gene Expression Varies Concordantly Between Males of Monogamous and Nonmonogamous Species.** To assess concordance of OGG expression variation between monogamous and

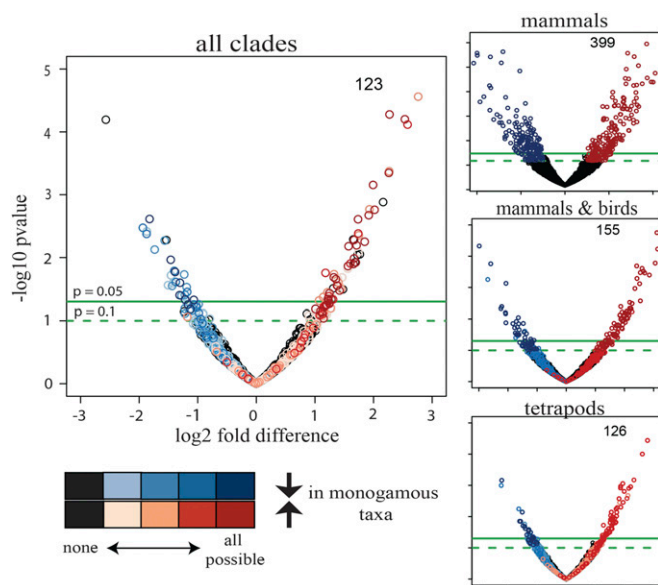
nonmonogamous species across vertebrate clades, we used the differential expression analysis software package DESeq2 (33). We assessed differential OGG expression multiple times with distinct evolutionary groupings from mammals to all clades where monogamous species of distinct clades were entered as interspecific replicates of monogamy (Fig. 3). We find that differences in OGG expression are generally concordant, particularly among OGGs that exhibit larger fold-differences (Fig. 3). In addition, most OGGs maintain directional concordance. For example, many of the OGGs that show increased expression (positive  $\log_2$  fold-difference) in one evolutionary group (e.g., mammals) show increased expression in other evolutionary groups (Fig. 3). These results indicate that across vertebrates monogamous species recruit a common set of OGGs despite evolutionarily independent transitions to similar mating systems. We find that, as the evolutionary frame of reference is expanded and more distantly related clades are added to the analysis, fewer OGGs retain significance. In particular, we find a large decrease in above-threshold OGGs when amniote species pairs (i.e., mammalian and avian species pairs) are included compared with only the mammalian species pairs (Fig. 3). This finding likely reflects the decreased expression variation notable in our bird species pair (*SI Appendix, Fig. S3*). While the observed effect of the mating system for some OGGs may be smaller at broader taxonomic scales, adding species pairs increases the statistical power. Thus, with the exception of the comparison between mammals and birds described above, the decrease in number of OGGs meeting our threshold cutoff is quite small (Fig. 3).

When we included all species pairs, we identified 123 OGGs (6.2%) associated with monogamy across vertebrates (*SI Appendix, Fig. S5*). We find a number of OGGs significant at one level of analysis failing to meet the significance threshold at another (Fig. 3). Many differential expression analysis approaches, including DESeq2, rely on expression variation among biological replicates to determine differential expression. This approach is limiting when biological replicates are highly variable (34) as is the case here, where species of different clades are included as interspecific replicates of monogamy. In addition to evolutionary distance, a number of biological and technical features likely generate noise in our analysis (e.g., ecological differences among species, course-grained tissue sampling, and technical variation during sequencing). To identify shared transcriptomic patterns across monogamous species and assess whether the degree of overlap in expression between monogamous species is statistically significant, we utilize the Rank-Rank Hypergeometric Overlap (RRHO) approach (34). Comparing ranked fold-differences enables discovery of OGGs that share patterns of expression among monogamous species without requiring that expression values be similar across evolutionarily distant clades. Additionally, rather than adhering to discrete thresholds to identify candidates with similar expression, RRHO identifies candidates with coordinated directional shifts in expression using a sliding threshold (34) (Fig. 4).

Using RRHO including all 1,979 OGGs, we find an enrichment of OGG overlap in the on-diagonal extremes (i.e., at high  $\log_2$  fold-differences in expression between monogamous and nonmonogamous species in both clades being compared; Fig. 4D). Most notably, we find enriched overlap of OGGs exhibiting decreased expression in monogamous species of all clades. In all comparisons, the concordant down-regulated (i.e., bottom left) quadrant of the RRHO plots has the greatest overall significance (i.e., most significant quadrant mean  $-\log_{10} P$  value), strongly indicative of a universal signature of expression among monogamous vertebrates (Fig. 4C and D). We generally do not find enrichment at small  $\log_2$  fold-differences with a few exceptions (e.g., frogs and voles). This observation is likely due to the fact that the majority of OGGs exhibit small fold-differences (although they may be important for monogamy-related behavior). Subtle variation in expression of genes involved in clade-specific





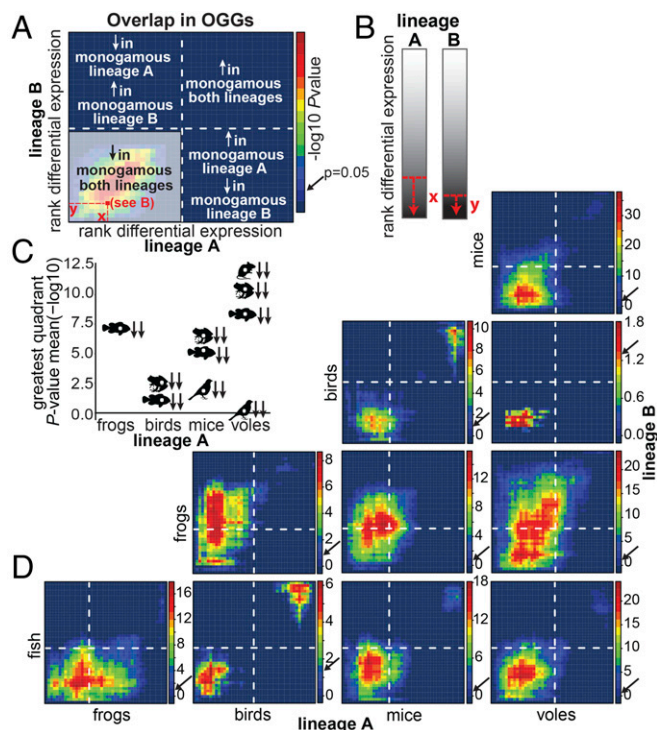


**Fig. 3.** Volcano plots indicating which of the 1,979 OGGs identified across all clades are differentially expressed at different taxonomic levels (mammals only, mammals and birds, tetrapods, all clades). Differential expression analysis was performed using DESeq2, where the monogamous (nonmonogamous) species of each clade were included as interspecific replicates of monogamy (nonmonogamy). Black circles show no differential expression at any taxonomic level. Differential expression analysis was performed on distinct evolutionary subgroups: mammals only (399 OGGs concordantly regulated), mammals and birds (155 OGGs), tetrapods (i.e., including frogs; 126 OGGs), and all four clades of vertebrates (i.e., including fishes; 123 OGGs). OGGs with a  $-\log_{10} P$  value  $> 1$  and a  $\log_2$  fold-difference less than  $-1$  (blue) or greater than  $1$  (red), respectively, are highlighted. The darker each circle, the more concordant across clades is the expression of the OGG that it represents. As more lineages are added to the analysis, more OGGs that are significant in one analysis fall below the significance threshold in another; however, adding species pairs increases the statistical power due to the increased number of interspecific replicates; thus, with the exception of evolutionary-subgroup mammals only versus mammals and birds, the decrease in number of OGGs meeting our threshold cutoff is small.

gene expression patterns underlie the behavioral expression of monogamous mating systems across vertebrate clades. However, it is possible that historical and ecological features shared between clades influence similarity in neural gene expression patterns. By design, species pairs were quite similar in their ecological attributes, differing primarily in specific characteristics of their mating systems (Fig. 2 and *SI Appendix*, Fig. S1 and Tables S1 and S2). Thus, the selection of species pairs by clade should minimize confounding ecological factors; however, several other factors may play a role in gene expression similarity. First, divergence times between species pairs vary between  $\sim 2.5$  and 34 million years (36). Historical contingency can bias the path of evolution such that more closely related species may be more similar due to shared evolutionary history. Second, elaboration of mating systems varies among species such that comparisons between monogamous and nonmonogamous mating systems are not equivalent across the clades. For example, the bird species included in this study share a number of mating system characteristics (Fig. 2). To assess the role of evolutionary history and mating system on gene expression divergence, we compared evolutionary and mating system distances to OGG expression distance for all species pairs. Neither evolutionary distance nor mating system distance correlated with OGG expression divergence between species pairs (Fig. 6 *A* and *B*). Notably, however, we find that the birds and the frogs are the most similar in their respective transcriptomes and are also the most similar in characteristics of mating system, while at the same time

being the most distantly related of all species pairs (birds: 29 Mya; frogs: 34.2 Mya; Fig. 1 and *SI Appendix*, Fig. S3). When expression and mating system variation attributable to phylogeny is removed (using phylogenetic independent contrasts), we find a significant relationship between neural gene expression and mating system (Fig. 6C). Even though phylogenetic relatedness and ecological attributes affect neural transcriptome similarity across species in complex ways, together these observations indicate a critical role for mating system in driving gene expression similarity in the brain.

**Conclusions.** Using a comparative transcriptomics approach, we asked whether independent transitions to a monogamous mating system across four major clades of vertebrates are associated with shared neural gene expression patterns. A shared mechanistic basis of social behavior across distantly related clades has been documented at the level of neural circuitry where brain-region-specific expression of neurochemical genes is remarkably conserved in the Social Decision Making Network of the vertebrate fore- and midbrain (37). Further neural gene expression comparisons of aggressive behavior in bees, stickleback, and mice (4) provide



**Fig. 4.** RRHO of monogamy-related  $\log_2$  fold-differences in gene expression for the 1,979 OGGs identified across all clades. Ranked  $\log_2$  fold-differences in monogamous vs. nonmonogamous mRNA levels are binned into 44 sets of 45 OGGs from the most down-regulated to the most up-regulated in the monogamous species of each clade. OGG set overlap is compared in four quadrants defined by the transition between down- and up-regulation in each clade (*A*, dashed lines). The color of each pixel of the matrix (*A*, red square) indicates the enrichment in OGG set overlap at and above that differential expression threshold (*B*) and is expressed as the negative  $\log_{10}$  of the Benjamini–Yekutieli-corrected  $P$  value. Significance of the enrichment is indicated by the pixel color with warm colors indicating increased enrichment. For each pairwise comparison of clades, the strength of OGG set overlap is summarized as the most significant quadrant mean negative  $\log_{10}$  of the BY-corrected (*C*). Mean, median, and maximum  $P$  values for each quadrant are provided in *SI Appendix*, Table S6. Arrows next to the silhouettes indicate the directionality in lineage A (first) and lineage B (second) (*C*). RRHO analyses are shown for each pairwise clade comparison (*D*). Negative  $\log_{10}$  of the BY-corrected  $P$  value color scale varies across plots. Dashed lines indicate the position of the switch point from down- to up-regulation in the monogamous species of each clade. Arrows on the color scale indicate the color at  $P$  value = 0.05.





(5), and caste differentiation in hymenoptera (7), the results presented here considerably expand our understanding of how behavioral diversity evolves.

## Materials and Methods

All animal care and use practices were approved by University of Texas at Austin; University of Memphis; University of California, Davis; University of Bath; East Carolina University; and Tulane University. Using an unbiased approach to identify neural gene expression patterns associated with a monogamous mating system and to limit clade-specific patterns in our cross-clade analysis, we sequenced and compared neural transcriptomic profiles from reproductive males of closely related monogamous and nonmonogamous species from four major classes of vertebrates ( $n = 3$  pooled individuals per species): Mammalia (*Microtus ochrogaster* versus *Microtus pennsylvanicus* and *Peromyscus californicus* versus *Peromyscus maniculatus*); Reptilia–Aves (*A. spinoletta* versus *P. modularis*); Amphibia (*Ranitomeya imitator* versus *Oophaga pumilio*); and Actinopterygii (*Xenotilapia spiloptera* versus *Xenotilapia ornatiipinnis*) (Fig. 1). All sequence data in this publication have been deposited in National Center for Biotechnology Information Gene Expression Omnibus (42). Procedures for sample collection are detailed in *SI Appendix*. These selected species pairs differ in mating system characteristics, but are similar in other ecological attributes (Fig. 2; *SI Appendix*, Fig. S1 and Tables S1 and S2; and ref. 43).

One challenge associated with comparative analysis of gene expression patterns across distantly related species is identifying homologous tissues and comparable orthologous genes. To limit the requirement of brain region homology inference across distantly related clades, we extracted RNA from the

combined fore- and midbrain tissues after hindbrain removal. To improve comparability in the transcriptomic analysis, we focus on expression of OGGs rather than individual genes. Across our 10 species we identified 1,979 OGGs using the sequenced-based ortholog-calling software package OrthoMCL (44). Our focus was on identifying monogamy-related expression patterns. Thus, when an OGG contained more than one gene (*SI Appendix*, Table S4; voles: 588, 30.0%; mice: 536, 27%; birds: 320, 16%; frogs: 228, 12%; fishes: 747, 38%), the gene with the highest  $\log_2$  fold-difference between the monogamous and nonmonogamous species pairs was used for the remainder of the analysis (as in ref. 4). Genes in the same OGGs were generally concordant in directionality of expression difference (*SI Appendix*, Fig. S2 and Table S4). Thus, the selection of the most differentially expressed paralog did not obscure the overall similarity in expression pattern and allowed for downstream analysis of candidate genes. Thus, for each OGG and each clade the gene with the largest expression difference between the monogamous and nonmonogamous species was selected as the representative gene (Dataset S2 and ref. 45). For brevity, we refer to expression of this representative gene as OGG expression.

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